



Peralta Community College District

August 26, 2016

PRE-QUALIFICATION TO BID

Pre-Qualification No.:16-17/05 Design - Build Construction Services, College of Alameda

The Board of Trustees of the Peralta Community College District (PCCD), Oakland, California, through the Office of Purchasing, is hereby requesting Pre-qualifications packages for the above mentioned project. All potential bidders must be pre-qualified in order to be able to bid on the College of Alameda Design – Build Construction Project. All successful pre-qualified bidders will be invited to a mandatory pre-bid meeting once the formal bidding documents are available.

Pre-Qualification Information

Pre-Qualification Description	Design – Build Construction Services at College of Alameda
Pre-Qualification Number	16-17/05
Qualification Issued	August 26, 2016
Department	Purchasing Department
Scheduled Publication Dates	August 26, 2016 & August 31, 2016
Bidder's conference	There is no bidder's conference for the pre-qualification phase.
Pre-Qualification Due Date	September 15, 2016 at 11:00 AM
Submittal Address	Peralta Community College District Purchasing Department Attn: Seraphine Nzomo, Buyer 501 5 th Avenue Oakland, CA 94606
Submittal Copies	One (1) Original. Three (3) marked copies marked "Copy"
Submittal Envelope Requirements	Pre-Qualification must be <u>sealed</u> and have the following information <u>clearly marked</u> and visible on the outside of the envelope: <ul style="list-style-type: none">• Pre-Qualification Number• Name of Your Company• Address• Phone Number

How to Obtain Pre-Qualification Documents

Copies of the Pre-Qualification documents may be obtained at:

Available	Location
Yes	Peralta Community College District Purchasing Department 501 5 th Avenue Oakland, CA 94606 Monday through Friday 9:00 AM to 4:00 PM P:(510) 466-7225
Yes	Website: www.peralta.edu Under "Quick Links", click "Business Opportunities" to download the Qualification packet.

Questions about the Pre-Qualification

Questions and or Requests for Information (RFI) must be submitted in writing and can be submitted by email as follows:

Primary Contact	Laura McCarty, Project Manager, lmccarty@peralta.edu
Question/RFI Due Date	September 7, 2016 at 4:00 p.m. Please submit questions as soon as possible. No questions regarding the pre-qualification will be responded to after the above date. All pertinent questions will be responded to and answered in writing no later than the Response Date listed below.
Response Date	September 12, 2016 All pertinent questions will be responded to via addendum faxed (or emailed) to all prospective bidders, and placed on the District's website. Bidders who did not receive a copy of the addendum should download it from the District's website. See "How to Obtain Pre-Qualification Documents" section for our web address. All addendums must be acknowledged on the Statement of Bidder's Qualifications signature page.

Full Opportunity

The Peralta Community College District hereby affirmatively ensures that Disadvantaged Business Enterprises (DBE), Small Local Business Enterprise (SLBE) and Small Emerging Local Business Enterprise (SELBE) shall be afforded full opportunity to submit bids in response to this notice and will not be discriminated against on the basis of race, color, national origin, ancestry, disability, gender, transgender status, political affiliation or religion in any consideration leading to the award of contract.

No qualified disabled person shall, on the basis of disability, be excluded from participating in, be denied the benefits of, or otherwise be subjected to discrimination in any consideration leading to the award.

Peralta Community College District reserves the right to reject any or all Qualifications, to waive any irregularities or informalities not affected by law, to evaluate the Qualifications submitted and to award the contract according to the Qualification which best serves the interests of Peralta Community College District.

Marie Hampton, Director of Purchasing

REQUEST FOR PRE-QUALIFICATION OF BIDDERS TO PROVIDE DESIGN - BUILD CONSTRUCTION SERVICES TO REPLACE THE ACADEMIC BUILDING "C" AT COLLEGE OF ALAMEDA

The Peralta Community College District (PCCD) has determined that all bidders for the Design – Build Construction Services project at College of Alameda (**Bid No. 16-17/05**) must be pre-qualified prior to submitting a bid. **It is mandatory that all firms who intend to submit a bid, fully complete the pre-qualification questionnaire, provide all materials requested herein, and be approved by Peralta Community College District to be on the final qualified Bidders list. No bid will be accepted from a Contractor that has failed to comply with these requirements.** If two or more business entities submit a bid as part of a Joint Venture, or expect to submit a bid as part of a Joint Venture, each entity within the Joint Venture must be separately qualified to bid.

This is a Public Works project and will require payment of prevailing wages and participation in the District's Project Labor Agreement. In order to perform the work, Bidders at the time of the Bid Opening and for the duration of the project shall possess a valid California Contractor's Class B license and all stated certifications in order to qualify to perform the Work.

All bids shall be presented in accordance with the bid specifications for this project. Bid documents and specifications will be available for purchase at a time to be determined. Pre-qualification packages can be downloaded by visiting our website at www.peralta.edu under "Quick Links", click "Business Opportunities".

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REQUEST FOR PRE-QUALIFICATION

0.01 General Information

- A. Notice is hereby given that the Peralta Community College District (“Owner” or “District”) has determined that all bidders on Building “C” at College of Alameda Design – Build Construction Project, to be undertaken by Owner, must be pre-qualified prior to submitting a proposal on that project. The Project will be delivered utilizing design-build methodology.

The Project will be approximately 35,000 ASF in a general location of the College of Alameda campus (see attached map) and include classrooms, offices, humanities/arts laboratories, and flexible program space. The new building shall be accessible, technologically sophisticated including smart classrooms, and energy efficient.

The Design-Build entity will have the opportunity to create an architectural style complementary to the campus as a whole and set the tone for a new master plan of the campus. The approximate site has been identified in prior master plans. A site visit is encouraged. The District will be evaluating which design-build team can best incorporate design innovation while delivering a minimum LEED Silver facility. As part of a subsequent RFP process, parts of a programming document developed by Steinberg Architects will be distributed to a short list of no more than 3 pre-qualified firms.

- B. It is mandatory that all Design-Build firms who intend to submit a proposal, fully complete the pre-qualification questionnaire, provide all materials requested herein, and be approved by Owner to be on the final qualified Proposal list. No Proposal will be accepted from a Design- Build firm that has failed to comply with these requirements.
- C. All Proposals submitted must identify the design and construction-related services necessary for the College of Alameda Building C to achieve a LEED certification rating of Silver or better by the California Green Building Institute upon completion. No Proposal will be accepted from a Design-Build Entity that has failed to comply with these requirements.
- D. The last date to submit a fully completed questionnaire is September 15, 2016, 11:00 a.m. Design-Build firms are encouraged to submit pre-qualification packages as soon as possible, so that they may be notified of omissions of information to be remedied or of their pre-qualification status well in advance of the bid advertisement for this project.

Engineer’s Estimate: \$30M, including FF&E and a utility extension to the building.

Construction Period: May 2019 – June 2019



College Of Alameda site map & Approximate Building C location

0.02 Pre-Qualification Questionnaire

Answers to questions contained in the attached questionnaire, information about current bonding capacity, notarized statement from surety, and the most recent reviewed or audited financial statements, with accompanying notes and supplemental information, are required. PCCD will use these documents as the basis of rating Contractors in respect to the size and scope of contracts upon which each Contractor is qualified to bid. PCCD reserves the right to check other sources available. PCCD's decision will be based on objective evaluation criteria.

PCCD reserves the right to adjust, increase, limit, suspend or rescind the pre-qualification rating based on subsequently learned information. Contractors whose rating changes sufficient to disqualify them will be notified, and given an opportunity for a hearing consistent with the hearing procedures described below for appealing a pre-qualification rating.

While it is the intent of the pre-qualification questionnaire and documents required therewith to assist in determining bidder responsibility prior to bid and to aid in selecting the lowest responsible bidder, neither the fact of pre-qualification, nor any pre-qualification rating, will preclude from a post-bid consideration and determination of whether a bidder has the quality, fitness, capacity and experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness.

All completed pre-qualification questionnaires must be no more than seventy-five (75) pages in length, exclusive of any certified financial statements submitted by the Design-Build firms.

The pre-qualification packages with supporting documentation, where requested, should be submitted under seal and marked "CONFIDENTIAL" to:

Seraphine Nzomo
Purchasing Department
Peralta Community College District
501 5th Avenue
Oakland, CA 94606

The pre-qualification packages (questionnaire answers, financial statements and surety letters) submitted by Contractors are not public records and are not open to public inspection. All information provided will be kept confidential to the extent permitted by law. However, the contents may be disclosed to third parties for purpose of verification, or investigation of substantial allegations, or in the appeal hearing. State law requires that the names of contractors applying for pre-qualification status shall be public records subject to disclosure, and the first page of the questionnaire will be used for that purpose.

Each questionnaire must be signed under penalty of perjury in the manner designated at the end of the form, by an individual who has the legal authority to bind the Contractor on whose behalf that person is signing. If any information provided by a Contractor becomes inaccurate, the Contractor must immediately notify PCCD and provide updated accurate information in writing, under penalty of perjury.

PCCD reserves the right to waive minor irregularities and omissions in the information contained in the pre-qualification application submitted, to make all final determinations, and to determine at any time that the pre-qualification procedures will not be applied to a specific future public works project.

Contractors may submit pre-qualification packages during regular working hours on any day that the office of the Purchasing Department is open. Contractors who submit a complete pre-qualification package will be notified of their qualification status no later than five business days after submission of the information.

PCCD may refuse to grant pre-qualification where the requested information and materials are not provided, or not provided by ten business days before the bid. There is no appeal from a refusal for an incomplete or late application, but re-application for a later project is permitted. The closing time for bids will not be changed in order to accommodate supplementation of incomplete submissions, or late submissions.

Where a timely and completed application results in a rating below that necessary to pre-qualify, an appeal can be made. An appeal is begun by the Contractor delivering notice to PCCD, attention of John Hiebert, of its appeal of the decision with respect to its pre-qualification rating, no later than ten business days prior to the closing time for the receipt of bids for this public works project. Without a timely appeal, the Contractor waives any and all rights to challenge the decision of PCCD, whether by administrative process, judicial process or any other legal process or proceeding.

If the Contractor gives the required notice of appeal and requests a review, the review shall be conducted so that it is concluded no later than five business days after PCCD's receipt of the notice of appeal, and no later than five business days prior to the last date for the receipt of bids on the project. At or prior to the review, the Contractor will be advised of the basis for PCCD's pre-qualification determination. The Contractor will be given the opportunity to present information and present reasons in opposition to the rating. Within one day after the conclusion of the review, a decision will be rendered. It is the intention of PCCD that the date for the submission and opening of bids will not be delayed or postponed to allow for completion of an appeal process.

Note: A contractor may be found not pre-qualified for bidding on a specific public works contract to be let by *Public Entity*, or on all contracts to be let by *Public Entity* until the contractor meets *Public Entity's* requirements. In addition, a contractor may be found not pre-qualified for either:

- (1) Omission of requested information or
- (2) Falsification of information

* * * * *

NOTICE: To contractors who are using subcontractors for this job, please be advised that *Public Entity* may require, as to subcontractors, one of the following:

- The qualification of subcontractors in the following crafts or trades, following acceptance of your bid, but before the award is made:

Demolition & Piers
- Pre-qualification of all subcontractors.
- Pre-qualification of subcontractors in certain crafts.
- Post-bid qualification review.

2.03 If the General Contractor is a sole proprietorship, please complete the following:

Owner	Years as Owner

2.04 If the General Contractor is a joint venture or partnership, provide the following for each member of the joint venture or each partner.

(Attach additional pages if necessary)

Name of Individual Or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership	% Ownership Interest

2.05 Has there been any change in ownership of the General Contractor during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

Yes No

If "yes," explain on a separate page.

2.06 Is the General Contractor a subsidiary, parent, holding company or affiliate of another construction firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.)

Yes No

If "yes," explain on a separate page.

2.07 State the General Contractor's gross revenues for each of the last three years:

Year: _____ Year: _____ Year: _____
 \$ _____ \$ _____ \$ _____

2.08 List all California contractor license numbers, classifications and expiration dates currently held by the General Contractor:

License Number	Trade Classification	Date Issued	Expiration Date

2.09 Has the General Contractor changed names or license numbers in the past ten years?

Yes No

If "yes," explain on a separate page.

2.10 Has any owner, CSLB qualifier or corporate officer of the General Contractor operated as a contractor under any other name or license number (not listed in 2.09 above) in the last ten years?

Yes No

If "yes," explain on a separate page.

2.11 Surety Information for General Contractor:

- A. Name of bonding company/surety: _____
- B. Name of Surety Agent: _____
- C. Surety Agent address: _____
- D. Surety Agent phone number: _____

2.12 List all other sureties (name and full address) that have written bonds for the General Contractor during the last ten years, including periods during which each wrote the bonds:

Surety	Address	Periods of Coverage

ARTICLE 3 – INFORMATION ABOUT THE ARCHITECT

3.01 Definitions:

A. "Architect of Record" shall mean the Architect whose stamp will appear on project documents.

3.02 If the Architect of Record's firm is different from that of the General Contractor, please answer the following:

A. Date of company formation or incorporation: _____

B. State of formation or incorporation: _____

C. How many persons does the Architect's firm currently employ? _____

3.03 If the Architect's firm is a corporation, provide the following information for each officer of the corporation and owners of 10% or more of the corporate stock.

Position	Name	Years with Co.	% Ownership
CEO			
President			
Secretary			
Treasurer			

3.04 If the Architect is a sole proprietorship, complete the following:

Owner	Years as Owner

3.05 If the Architect’s firm is a joint venture or partnership, provide the following information for each member of the joint venture or each partner.

(Attach additional pages if necessary)

Name of Individual or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership	% Ownership Interest

3.06 Has there been any change in ownership of the Architect’s firm during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

Yes No

If “yes,” explain on a separate page.

3.07 Is the Architect’s firm a subsidiary, parent, holding company or affiliate of another firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.)

Yes No

If “yes,” explain on a separate page.

3.08 Has any corporate officer or owner of the Architect's firm, worked for any other architectural firms in the past ten years?

(NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.)

Yes No

If “yes,” explain on a separate page.

3.09 Has the Architect’s firm changed names in the past ten years?

Yes No

If “yes,” explain on a separate page.

3.10 Provide the following information for all known Architects who will be designing the project:

(Attach additional pages if necessary)

Architect	License Number	Years in Practice

3.11 Attach a copy of the Architect of Record's resume.

ARTICLE 4 – INFORMATION ABOUT THE PRINCIPAL ENGINEERS (Mechanical, Electrical, Structural, Plumbing)

4.01 The nature of the project will dictate the discipline(s) of the Principal Engineer(s). The Design-Build Entity should make sure to pre-qualify all relevant engineers to the project. Attach copies if necessary.

4.02 Provide the following information:

- A. Name: _____
- B. License Number: _____
- C. Engineering Discipline: _____
- D. Years in Practice: _____

4.03 If the firm of the Principal Engineer is different from that of the General Contractor or Architect of Record, please answer the following:

- A. Date of company formation or incorporation: _____
- B. State of formation or incorporation: _____
- C. How many persons does the Engineer’s firm currently employ?: _____

4.04 If the Principal Engineers firm is a corporation, provide the following information for each officer of the corporation and individuals who own 10% or more of the corporate stock.

Position	Name	Years with Co.	% Ownership
CEO			
President			
Secretary			
Treasurer			

4.05 If the Principal Engineer is a sole proprietorship, complete the following:

Owner	Years as Owner

4.06 If the Principal Engineer’s firm is a joint venture or partnership, provide the following information for each member of the joint venture or each partner.

Name of Individual or Entity	Principal Contact	Position	Years with Joint Venture/ Partnership	% Ownership Interest

4.07 Has there been any change in ownership of the Principal Engineer’s firm during the last three years?

(NOTE: A corporation whose shares are publicly traded is not required to answer this question with regard to public trades.)

Yes No

If “yes,” explain on a separate page.

4.08 Is the Principal Engineer’s firm a subsidiary, parent, holding company or affiliate of another firm?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.)

Yes No

If "yes," explain on a separate page.

4.09 Has any corporate officer or owner of the Principal Engineer's firm worked for any other engineering firms in the past ten years?

(NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.)

Yes No

If "yes," explain on a separate page.

4.10 Has the Principal Engineer's firm changed names in the past ten years?

Yes No

If "yes," explain on a separate page.

4.11 Attach a copy of the Principal Engineer's resume.

ARTICLE 5 – Not used

ARTICLE 6 – Not Used

ARTICLE 7 – ESSENTIAL REQUIREMENTS FOR THE DESIGN-BUILD ENTITY

7.01 Definitions:

A. The term "Associates" shall mean all of the following:

1. The current qualifiers for all current Contractors State License Board contracting licenses held by the contractor.
2. All current officers of a contractor which is a corporation.
3. All current partners of a contractor which is a partnership.
4. All current joint venturers of the joint venture contractor which is seeking prequalification.

7.02 Does the General Contractor possess a current California contractor's license for the project for which it intends to submit a proposal?

Yes No

7.03 Does the General Contractor have a liability insurance policy with a policy limit of at least \$5,000,000 per occurrence and \$10,000,000 aggregate from a California admitted company?

Yes No

If "yes," please provide the following information:

(Attach a separate page if more than one policy.)

- A. Insurance Company: _____
- B. Policy Number: _____
- C. Policy limit per occurrence: _____
- D. Aggregate policy limit: _____

7.04 Does the General Contractor have current workers' compensation insurance policies as required by the Labor Code or are legally self-insured pursuant to Labor Code sections 3700 et. seq.?

Yes No

If "yes," please provide the following information:

(Attach a separate page if more than one policy.)

- A. Insured: _____
- B. Carrier: _____
- C. Policy Number: _____

7.05 Has the latest copy of a reviewed financial statement (no more than two years old) with accompanying notes been attached for the General Contractor? (A reviewed financial statement with accompanying notes of a parent company guarantor may be substituted. A financial statement that is not reviewed is not acceptable. A letter verifying availability of a line of credit is not a substitute for the required financial statement.)

Yes No

7.06 Has a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California been attached, which states that the General Contractor's current bonding capacity is sufficient for the project for which you seek pre-qualification? (Statement must be from the surety company, not an agent or broker.)

Yes No

7.07 Has any contractor license held by the General Contractor or its associates or any of the proposed Subcontractors or their associates, been revoked or suspended within the last ten (10) years?

Yes No

7.08 Has a surety firm completed a contract or paid for completion of a contract on behalf of any member of the Design-Build Entity because they were terminated by the project owner within the last ten (10) years?

Yes No

7.09 Within the last ten years was the General Contractor or any proposed Subcontractor declared ineligible to bid on a public works contract, or to be awarded a public works contract, or to perform as a subcontractor on a public works contract, pursuant to either

Labor Code section 1777.1 or Labor Code section 1777.7?

Yes No

7.10 Has any member of the Design-Build Entity (contractors, architects, engineers or others) or any member's associates, ever been convicted of a crime involving the awarding of a contract for a government project, or the bidding or performance of a government contract within the last ten (10) years?

Yes No

7.11 Do all Architects and Engineers who are expected to work on the project possess current California professional licenses for the services which they intend to provide?

Yes No

7.12 Is the Architect of Record covered by a professional liability insurance policy with a policy limit of at least \$2,000,000 per occurrence and \$5,000,000 aggregate from a California admitted company that provides coverage for work on a design-build contract?

Yes No

If "yes," provide the name of the insurance company, policy number, and policy limits.

Attach a separate page if more than one policy.)

- A. Insurance Company: _____
- B. Policy Number: _____
- C. Policy limit per occurrence: _____
- D. Aggregate policy limit: _____

7.13 Are all Principal Engineers covered by a professional liability insurance policy with a policy limit of at least \$2,000,000 per occurrence and \$5,000,000 aggregate from a California admitted company that provides coverage for work on a design-build contract?

Yes No

If "yes," provide the name of the insurance company, policy number, and policy limits.

(Attach a separate page if more than one policy.)

- A. Insurance Company: _____
- B. Policy Number: _____
- C. Policy limit per occurrence: _____
- D. Aggregate policy limit: _____

7.14 Has any professional license held by any Architect who will provide services been revoked at any time in the last ten years?

Yes No

7.15 Has any professional license held by any Engineer who will provide services been revoked at any time in the last ten years?

Yes No

7.16 Does the General Contractor seeking prequalification, know and understand their obligations regarding the employment of apprentices on public works under Labor Code section 1777.5 and California Code of Regulations, Title 8, section 230.1, and do they intend to comply with these requirements, including the requirement, if applicable, to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council?

Yes No

7.17 Has the General Contractor performed design-build construction services on a contract to build a California Community College, California State University, or University of the State of California educational facility, requiring design review and construction oversight by the Department of the State Architect (DSA), within the last ten years?

Yes No

If "yes," please provide the following information:

(Attach a separate page if construction services were performed on more than one public educational facility.)

A. Project Owner: _____

B. Project Name and Description: _____

C. Date and Duration of Project Construction: _____

7.18 Has the General Contractor performed design-build construction services on a contract to build a public educational science or laboratory facility within the last ten years?

Yes No

If "yes," please provide the following information:

(Attach a separate page if construction services were performed on more than one public educational science or medical facility.)

A. Project Owner: _____

B. Project Name and Description: _____

C. Date and Duration of Project Construction: _____

7.19 Has the General Contractor performed design-build construction services on a public or private construction project comparable in size and scope to the College of Alameda Buildings C and D Project within the last ten years?

Yes No

If "yes," please provide the following information:

(Attach a separate page if construction services were performed on more than one construction project of comparable size.)

- A. Project Owner: _____
- B. Project Name and Description: _____

- C. Date and Duration of Project Construction: _____

7.20 Has the General Contractor performed design-build construction services on a contract to build a public educational facility requiring compliance with the California Educational Code within the last ten years?

Yes No

If "yes," please provide the following information:

(Attach a separate page if construction services were performed on more than one public educational facility.)

- A. Project Owner: _____
- B. Project Name and Description: _____

- C. Date and Duration of Project Construction: _____

7.21 Has the General Contractor performed design-build construction services on a contract to build a public or private facility that was awarded a LEED certification rating of Silver or better by the Green Building Certification Institute within the last ten years?

Yes No

If "yes," please provide the following information:

(Attach a separate page if construction services were performed on more than one LEED Silver certified facility.)

- A. Project Owner: _____
- B. Project Name and Description: _____

- C. Date and Duration of Project Construction: _____

7.22 Has the Architect of Record performed design-build construction services on a contract to

build a public or private facility that was awarded a LEED certification rating of Silver or better by the Green Building Certification Institute within the last ten years?

- Yes No

If "yes," please provide the following information:

(Attach a separate page if construction services were performed on more than one LEED Silver certified facility.)

- A. Project Owner: _____
- B. Project Name and Description: _____

- C. Date and Duration of Project Construction: _____

7.23 Has the Principal Engineer performed design-build construction services on a contract to build a public or private facility that was awarded a LEED certification rating of Silver or better by the Green Building Certification Institute within the last ten years?

- Yes No

If "yes," please provide the following information:

(Attach a separate page if construction services were performed on more than one LEED Silver certified facility.)

- A. Project Owner: _____
- B. Project Name and Description: _____

- C. Date and Duration of Project Construction: _____

7.24 Has the General Contractor or its associates ever defaulted on a construction contract?

- Yes No

7.25 In the last ten years has the General Contractor or its associates, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

- Yes No

If "yes," explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.

7.26 Has the General Contractor or its associates ever been denied an award of a public works contract based on a finding by a public agency that they were not a responsible bidder?

- Yes No

If “yes,” on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.

(NOTE: The following two questions refer only to disputes between contractors and owners of projects. You need not include information about disputes with suppliers, other contractors, or subcontractors. You need not include information about “pass-through” disputes in which the actual dispute is between a subcontractor and a project owner.)

In the last ten years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the General Contractor or its associates due to non-payment or contractor losses?

Yes No

If “yes,” on a separate page give name of the insured, name the insurance carrier, the form of insurance, and the year of the refusal.

7.27 Has the General Contractor or its associates ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public entity?

Yes No

If “yes,” explain on a separate page, including identifying who was found liable or guilty, the court and case number, the name of the public entity, the civil or criminal verdict, the date and the basis for the finding.

7.28 Has the General Contractor or its associates ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

If “yes,” explain on a separate page, including identifying who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

7.29 Has the General Contractor or its associates ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No

If “yes,” identify on a separate page, the person or persons convicted, the court and case number, the crimes, and the year convicted.

ARTICLE 8 – SCORED QUESTIONS FOR THE GENERAL CONTRACTOR

8.01 Definitions:

A. The term “Associates” shall mean all of the following:

1. The current qualifiers for all current Contractors State License Board contracting licenses held by the contractor.
2. All current officers of a contractor which is a corporation.
3. All current partners of a contractor which is a partnership.
4. All current joint venturers of the joint venture contractor which is seeking prequalification.

8.02 How many years has the General Contractor been licensed in California?

Years: _____

8.03 Is the General Contractor or its associates currently the debtor in a bankruptcy case?

Yes No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number	Bankruptcy Court	Date Filed
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8.04 Was the General Contractor or its associates in bankruptcy at any time during the last ten years? (This question refers only to a bankruptcy action that was not described in answer to question B, above)

Yes No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number	Bankruptcy Court	Date Filed
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8.04 At any time in the last ten years has the General Contractor or its associates been assessed liquidated damages of more than \$50,000 on a construction contract with either a public or private owner?

Yes No

If yes, explain on a separate page, identifying all such projects by owner, owner's address, name of entity against whom assessment was made, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

8.05 In the past ten years has any claim in excess of \$50,000 been filed in court or arbitration against the General Contractor or its associates concerning their work on a construction project?

Yes No

If "yes," on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, the name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

8.06 In the past ten years has the General Contractor or its associates made any claim in excess of \$50,000 against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration?

Yes No

If "yes," on a separate page identify the claim by providing the name of claimant, the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

8.07 During the last ten years, has there ever been a period of time when the General Contractor or its associates had no surety bond in place during a public construction project when one was required?

Yes No

If yes, indicate the period during which no surety bonds were in place, name of entity without the surety bond, the name of project owner, and if coverage was denied the date coverage was denied and the name of the company that denied coverage.

- 8.08 Has CAL OSHA cited and assessed penalties against the General Contractor or its associates for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past ten years?

(NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.)

Yes No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any OSHAB decision.

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

- 8.09 Has the EPA, any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the General Contractor or its associates or the owner of a project during the time in which the preceding parties were performing on a contract in the past 10 years?

(NOTE: If an appeal of the citation has been filed and there is no ruling yet, or if there is a court appeal pending, you need not include information about the citation.)

Yes No

If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.

- 8.10 How often does the General Contractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

-
- 8.11 List the General Contractor's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:

(NOTE: An Experience Modification Rate is issued to your firm annually by your workers' compensation insurance carrier.)

- 1. Year: EMR:
- 2. Year: EMR:
- 3. Year: EMR:

If your EMR for any of these three years is 1.00 or higher you may attach a letter of explanation.

- 8.12 Within the last ten years has there ever been a period when the General Contractor or its associates had employees but was without workers' compensation insurance or state-approved self-insurance?

Yes No

If "yes," please explain the reason for the absence of workers' compensation insurance on a separate page. If "No," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the General

Contractor for the last ten years. (If the General Contractor has been in business for less than ten years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that your firm has been in business.)

- 8.13 Has there been more than one occasion during the last ten years in which the General Contractor or its associates was required to pay either back wages or penalties for failure to comply with the state's prevailing wage laws?

Yes No

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed, the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

- 8.14 If the General Contractor operates its own State-approved apprenticeship program, provide the following information on a separate page:

- a. Identify the craft or crafts in which you provided apprenticeship training in the past year.
- b. State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
- c. State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

- 8.15. At any time during the last ten years, has the General Contractor or its associates been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

Yes No

If "yes," provide the date of the findings and attach a copy of the final decision.

Date of Findings

- 8.16. Do agreements exist between the General Contractor and registered apprenticeship programs which have been approved by the California Apprenticeship Council and have graduated apprentices in the preceding ten years, for all apprenticable crafts which may be employed by the General Contractor on this project?

(This graduation requirement shall not apply to programs providing apprenticeship training for any craft that has not been deemed by the Department of Labor and the Department of Industrial Relations to be an apprenticable craft within the period of October, 1999 to October, 2001.)

Yes No

- 8.17 Has the General Contractor built public colleges or universities in the last 5 years?

Yes No

If "yes," how many?: _____

- 8.18. Please fill in the following blanks based on the General Contractor's attached financial statement:

- 1. Current Assets: \$ _____
- 2. Current Liabilities: \$ _____
- 3. Total Net Worth: \$ _____
- 4. Current Ratio (Assets/Liabilities): \$ _____
- 5. Working Capital (Current Assets - Current Liabilities): \$ _____

ARTICLE 9 – SCORED QUESTIONS FOR THE ARCHITECT OF RECORD

9.01 Definitions:

- A. "Architect of Record" shall mean the Architect whose stamp will appear on project documents.
- B. "Firm" shall mean the firm which employs the Architect of Record whether it is the General Contractor, a separate Architectural firm, or other firm.

9.02 Name of Architect of Record: _____

9.03 Name of Firm: _____

9.04 How many years has the Architect of Record been licensed and practicing in California?

Years: _____

9.05 Is the firm currently the debtor in a bankruptcy case?

- Yes No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____ _____ _____
 Case Number Bankruptcy Court Date Filed

9.06. Was the firm in bankruptcy at any time during the last ten years? (This question refers only to a bankruptcy action that was not described in answer to question B, above)

- Yes No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

_____ _____ _____
 Case Number Bankruptcy Court Date Filed

9.07 In the past ten years has any claim in excess of \$50,000 been filed in court or arbitration against the Architect of Record or the firm concerning its architectural work on a project?

- Yes No

If "yes," on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

9.08. In the past ten years has the Architect of Record or the firm, made any claim in excess of \$50,000 against a project owner concerning its architectural work on a project and filed that claim in court or arbitration?

Yes No

If "yes," on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

9.09. In the last ten years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Architect of Record or the firm, based on non-payment or losses?

Yes No

If "yes," on separate page give name the insurance carrier, the form of insurance and the year of the refusal.

9.10 Has the Architect of Record or the firm ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Yes No

If "yes," on a separate page identify who was involved, the name of the public agency, the date of the investigation and the grounds for the finding.

9.11 Has the Department of Consumer Affairs taken any disciplinary action against the Architect of Record?

Yes No

If yes, please explain on a separate page.

ARTICLE 10 – SCORED QUESTIONS FOR THE PRINCIPAL ENGINEER (Mechanical, Electrical, Structural, Plumbing)

10.01 Definitions:

A. "Firm" shall mean the firm that employs the Principal Engineer, whether it is the General Contractor, a separate engineering firm, or other firm.

10.02 The nature of the project will dictate the discipline(s) of the Principal Engineer(s). The Design-Build Entity should make sure to pre-qualify all relevant Principal Engineers to the project. Attach additional copies as necessary for each Principal Engineer.

10.03 Name of Principal Engineer: _____

10.04 Name of Firm: _____

10.05 How many years has the Principal Engineer been licensed and practicing in California?

Years: _____

10.06 Is the firm currently the debtor in a bankruptcy case?

Yes No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number	Bankruptcy Court	Date Filed
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10.07 Was the firm in bankruptcy at any time during the last ten years? (This question refers only to a bankruptcy action that was not described in answer to question B, above)

Yes No

If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

Case Number	Bankruptcy Court	Date Filed
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10.08 In the past ten years has any claim in excess of \$50,000 been filed in court or arbitration against the Principal Engineer or the firm concerning its engineering work on a project?

Yes No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

10.09 In the past ten years has the Principal Engineer or the firm made any claim in excess of \$50,000 against a project owner concerning engineering work on a project and filed that claim in court or arbitration?

Yes No

If "yes," on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

10.10. In the last ten years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Principal Engineer or the firm based on non-payment or losses?

Yes No

If "yes," on separate page give name the insurance carrier, the form of insurance and the year of the refusal.

10/11. Has the Principal Engineer or the firm ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Yes No

If "yes," on a separate page identify who was found liable or convicted, the name of the public agency, the court and case number, the date of the investigation and the grounds for the finding.

10.12. Has the Department of Consumer Affairs taken any disciplinary action against the Principal Engineer?

Yes No

If yes, please explain on a separate page.

ARTICLE 11 – RECENT CONSTRUCTION PROJECTS COMPLETED

11.01 How many design-build projects have the General Contractor and Architect of Record involved in this Design-Build Entity ever worked together on?

11.02 List volume of construction and value of largest design-build project which the General Contractor and Architect have worked together on.

A. Volume: _____

B. Largest Contract: _____

11.03 List General Contractor’s three largest design-build projects within the last ten years. The design-build projects may be public or private and located anywhere in the United States. Names and references must be current and verifiable.

(Copy additional sheets for each project listed)

A. Project Name: _____

B. Location: _____

C. Owner Name and Current Telephone Number: _____

D. Architect of Record’s Name and Current Telephone Number: _____

E. Principal Engineer Name and Current Telephone Number: _____

F. Construction Manager Name and Current Telephone Number: _____

G. Description of Project, Scope of Work Performed: _____

H. Value of Original Contract: _____

I. Value of Contract including change orders: _____

J. Original Scheduled Completion Date: _____

K. Time Extensions Granted (number of days): _____

L. Actual Date of Completion: _____

11.04 List General Contractor's six most recently completed public works projects. Names and references must be current and verifiable.

(Copy additional sheets for each project)

- A. Project Name: _____
- B. Location: _____
- C. Owner Name and Current Telephone Number: _____

- D. Architect of Record's Name and Current Telephone Number: _____

- E. Principal Engineer Name and Current Telephone Number: _____

- F. Construction Manager Name and Current Telephone Number: _____

- G. Description of Project, Scope of Work Performed: _____

- H. Value of Original Contract: _____
- I. Value of Contract including change orders: _____
- J. Original Scheduled Completion Date: _____
- K. Time Extensions Granted (number of days): _____
- L. Actual Date of Completion: _____

CERTIFICATION

I, the undersigned, certify and declare that I have read all the foregoing answers to this prequalification questionnaire and know their contents. The matters stated in the questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

BIDDER CERTIFIES, UNDER PENALTY OF PERJURY, THAT THE FOREGOING INFORMATION IS CURRENT AND ACCURATE AND AUTHORIZES OWNER, AND ITS AGENTS AND REPRESENTATIVES TO OBTAIN A CREDIT REPORT AND/OR VERIFY ANY OF THE ABOVE INFORMATION.

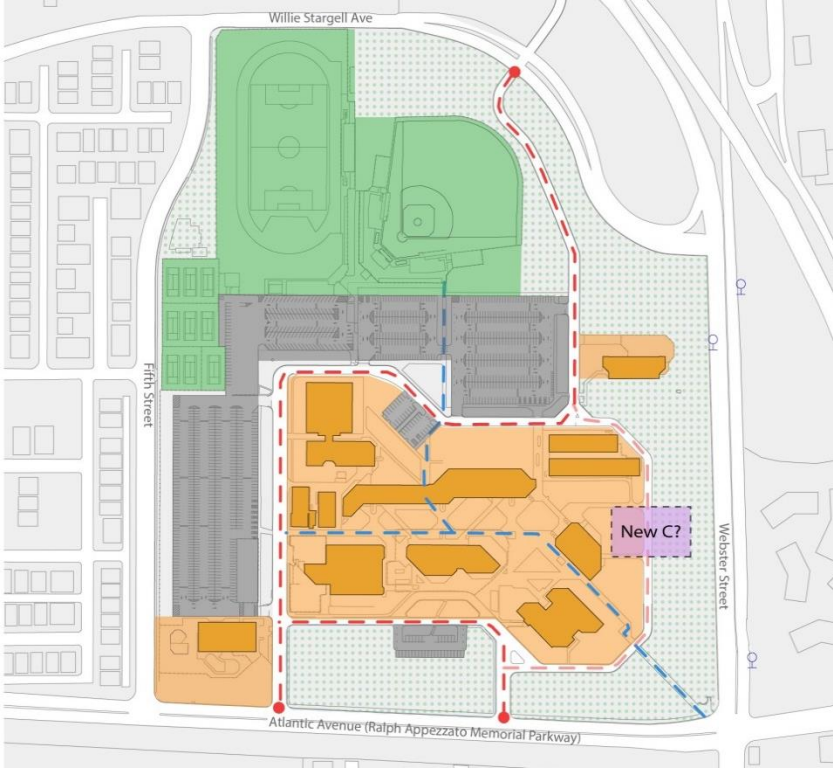
(Signature)

(Printed name)

(Place of Execution)

(Date)

(Design-Build Entity Member)



College Of Alameda site map &
Approximate Building C location