



Peralta Community College District  
Institutional Effectiveness Partnership Initiative (IEPI)  
2015-16 Goals

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## **What is the Institutional Effectiveness Partnership Initiative (IEPI)?**

The Institutional Effectiveness Partnership Initiative (IEPI) is a collaborative, grant-funded effort between the State Chancellor's Office, the Success Center for California Community Colleges, the Academic Senate of the California Community Colleges, the RP Group, and other consultation groups within the CCC system. The goal of the initiative is to advance institutional effectiveness in the California community colleges, in order to enhance the system's ability to serve students and support their success. In the process, the IEPI hopes to significantly reduce the number of accreditation sanctions and audit issues. An important focus of the grant is to draw on the expertise and innovation from within the CCC system, in order to advance best practice and help individual colleges avoid potential pitfalls.

The initiative has three major components:

### **1. A framework of indicators and college/district goals.**

- The framework includes student performance and outcomes, accreditation status, fiscal viability, and programmatic compliance with state and Federal guidelines.
- The framework draws heavily on existing indicators and publicly available data.
- The framework and goals system is statutorily required to be implemented by June 30, 2015 and updated annually.

### **2. Partnership Resource Teams (PRTs) that can provide assistance to institutions.**

- To express interest in a team visit, an institution's CEO submits a short letter of interest.
- Team members will be drawn from a pool of experts nominated through or appointed by statewide professional organizations and others. Team composition for each institution is approved by the applicable CEO and CCCCCO.
- The team will visit at least three times, for initial clarification of issues, development of strategies and timelines, and follow up. Additional follow up visits are available as needed.
- Grants of up to \$150,000 in seed money will be available to institutions that receive team visits, to accelerate implementation of improvement plans, called Innovation and Effectiveness Plans. Grants will be available while funds are available.

### **3. Professional development opportunities related to institutional effectiveness.**

- An online clearinghouse (under development) will include both effective practices and pitfalls to avoid related to accreditation and audit issues, as well as other topics related to institutional effectiveness.
- Regional workshops will supplement the materials in the online clearinghouse. These workshops will be recorded and made available online through the clearinghouse, as well.
- Professional development opportunities are available to all colleges/districts regardless of participation with Partnership Resource Teams.

## Required IEPI Goals/Targets for 2015-2016

### 1. Accreditation Status

All four colleges in the Peralta Community College District are currently fully accredited by the Accrediting Commission for Community and Junior Colleges (ACCJC) of the Western Association of Schools and Colleges (WASC), an institutional accrediting body recognized by the Council for Higher Education Accreditation (CHEA) and the US Department of Education. All four colleges have set a long term goal of Fully Accredited, Reaffirmed for this indicator.

However, after comprehensive institutional review site visits in March 2015, and the June 2015 ACCJC commission meeting, sanctions were imposed on the four colleges, as listed below, requiring a Follow-up Report and site visit in October 2016. When sanctions are issued as a result of the institution's educational quality and institutional effectiveness review, reaffirmation of accreditation is delayed during the period of sanction.

Berkeley City College - Warning  
College of Alameda - Probation  
Laney College - Warning  
Merritt College - Probation

### 2. Fund Balance: Ending unrestricted general fund balance as a percentage of total expenditures.

The Peralta Community College District (PCCD) Board of Trustees has set a policy specifying what percent of the unrestricted general fund should be maintained as a minimum 5% reserve (BP 6250 Budget Management) .

For 2015-16 PCCD has set its short-term goal for this indicator as 16.6%, in order to comply with this policy and ensure long-term fiscal stability.

### 3. Successful Course Completion

Successful course completion rate is defined as the percentage of for-credit course enrollments where students earned a C or better.

The table below shows a five-year comparison of annual successful course completion rates for all four colleges.

	Goal for 2015-16	2013-14	2012-13	2011-12	2010-11	2009-10
Berkeley City College	64.5%	63.9%	65.3%	63.9%	63.7%	60.8%
College of Alameda	68.0%	66.9%	66.7%	64.6%	64.6%	62.3%
Laney College	67.0%	66.4%	66.7%	65.2%	65.2%	60.1%
Merritt College	65.7%	65.5%	66.6%	64.5%	64.8%	61.4%

### 4. Programmatic compliance with state and federal guidelines: Overall Audit Opinion

(Either an unmodified auditors' opinion without internal control issues or a modified auditors' opinion that indicates material weaknesses and/or internal control issues).

The Peralta Community College District received a modified auditors' opinion for the 2013-14 reporting year due to a material weakness in funding long-term post-retirement health care (OPEB) liabilities. It is expected that this opinion will remain in the 2014-15 audit report since resolution of the situation is still in progress.

## Appendix A.

### EDUCATION CODE 84754.6.

(a) The Chancellor of the California Community Colleges, in coordination with community college stakeholder groups, the appropriate fiscal and policy committees of the Legislature, and the Department of Finance, shall develop, and the board of governors shall adopt, a framework of indicators designed to measure the ongoing condition of a community college's operational environment in the following areas:

- (1) Accreditation status.
- (2) Fiscal viability.
- (3) Student performance and outcomes.
- (4) Programmatic compliance with state and federal guidelines.

(b) As a condition of receipt of funds appropriated for purposes of Article 1 (commencing with Section 78210) of Chapter 2 of Part 48, each community college within a community college district shall develop, adopt, and publicly post a goals framework that addresses at least all of the areas specified in subdivision (a). The development of the goals framework shall be guided by the statewide goals outlined in Section 66010.91. It is the intent of the Legislature that these goals be challenging and quantifiable, address achievement gaps for underrepresented populations, and align the educational attainment of California's adult population to the workforce and economic needs of the state, pursuant to the legislative intent expressed in Section 66010.93.

(c) The board of governors shall annually develop, adopt, and publicly post a systemwide goals framework that addresses at least all of the areas specified in subdivision (a). The development of the systemwide goals shall be guided by the statewide goals set forth in Section 66010.91. It is the intent of the Legislature that these goals be challenging and quantifiable, address achievement gaps for underrepresented populations, and align the educational attainment of California's adult population to the workforce and economic needs of the state, pursuant to the legislative intent expressed in Section 66010.93.

(d) Before the commencement of the 2015–16 fiscal year, and before the commencement of each fiscal year thereafter, the Chancellor of the California Community Colleges shall publicly post both of the following:

- (1) Annually developed systemwide goals adopted by the board of governors.
- (2) Locally developed and adopted community college or community college district goals and targets.

(e) Subject to the availability of funding in the annual Budget Act, the board of governors and the Chancellor of the California Community Colleges shall assess the degree to which each community college district is improving its outcomes in regard to the areas specified in subdivision (a) and any additional issues addressed in the goals frameworks described in subdivision (b), and shall offer technical assistance to community college districts that are not improving.

(f) If a community college district is receiving technical assistance pursuant to subdivision (e), the community college district shall submit a turnaround plan that details all of the following:

- (1) The problem the technical assistance is attempting to solve.
- (2) How the identified problem will be addressed in a plan adopted by the governing board of the community college district.
- (3) A timetable of major milestones for improvement.
- (4) Updates that will be submitted to the Chancellor of the California Community Colleges on the outcomes in regard to those milestones, as scheduled by the chancellor.

*(Amended by Stats. 2014, Ch. 687, Sec. 8. Effective September 27, 2014.)*

## Appendix B.

### Full Framework of Indicators

College/District Indicator	Brief Definition
<b>Student performance and outcomes</b>	
Completion Rate (Scorecard):	Percentage of degree, certificate and/or transfer-seeking students starting first time in 2008-09 tracked for six years through 2013-14 who completed a degree, certificate or transfer-related outcomes.
· College-Prepared	Student's lowest course attempted in Math and/or English was college level
· Unprepared for College	Student's lowest course attempted in Math and/or English was pre-collegiate level
· Overall	Student attempted any level of Math or English in the first three years
Remedial rate (Scorecard):	Percentage of credit students tracked for six years through 2013-14 who started first time in 2008-09 below transfer level in English, mathematics, and/or ESL and completed a college-level course in the same discipline
· Math	See above
· English	See above
· ESL	See above
Career Technical Education Rate (Scorecard)	Percentage of students tracked for six years through 2013-14 who started first time in 2008-09 and completed more than eight units in courses classified as career technical education in a single discipline and completed a degree, certificate or transferred
Successful course completion (Datamart)	Percentage of students who earn a grade of "C" or better or "credit" in 2013-14.
Completion of degrees (Datamart)	Number of associate degrees completed in 2013-14
Completion of certificates (Datamart)	Number of Chancellor's Office-approved certificates completed in 2013-14
Number of students who transfer to 4-year institutions (Datamart)	Number of students who transfer to a four-year institution, including CSU, UC, or private university in 2013-14.
<b>Accreditation Status</b>	
Accreditation status	Latest ACCJC action: Fully Accredited, Reaffirmed Fully Accredited, Warning Fully Accredited, Probation Fully Accredited, Show Cause Fully Accredited, Restoration

**Fiscal viability and programmatic compliance with state and federal guidelines**

Salary and Benefits	Salaries and benefits as a percentage of unrestricted general fund expenditures, excluding other outgoing expenditures
Full-Time Equivalent Students	Annual number of full-time equivalent students
Annual Operating Excess/(Deficiency)	Net increase or decrease in unrestricted general fund balance
Fund Balance	Ending unrestricted general fund balance as a percentage of total expenditures
Cash Balance	Unrestricted and restricted general fund cash balance, excluding investments
Audit Findings	Modified opinion, material weaknesses, or significant deficiencies as identified in an annual independent audited financial statement

## **Appendix C.**

### **Resources**

#### **College links**

<http://alameda.peralta.edu/office-of-research-planning-and-institutional-effectiveness/>

<http://www.berkeleycitycollege.edu/wp/prm/iepi/>

<http://www.laney.edu/wp/institutionaleffectiveness/>

<http://www.merritt.edu/wp/institutionaleffectiveness/2015-institutional-effectiveness-framework/>

#### **Frequently Asked Questions**

<http://extranet.cccco.edu/Portals/1/InstitutionalEffectiveness/Institutional%20Effectiveness%20FAQ%20150529.pdf>

#### **IEPI Workshop Materials**

<http://extranet.cccco.edu/Portals/1/InstitutionalEffectiveness/Final-IEPI-Workshop-March-24.pdf>

#### **CCCCO Institutional Effectiveness Division**

<http://extranet.cccco.edu/Divisions/InstitutionalEffectiveness.aspx>